

Cloud-native Case Management Application Increases FDA-regulated Inspections with Better Agency Coordination



CHALLENGE:

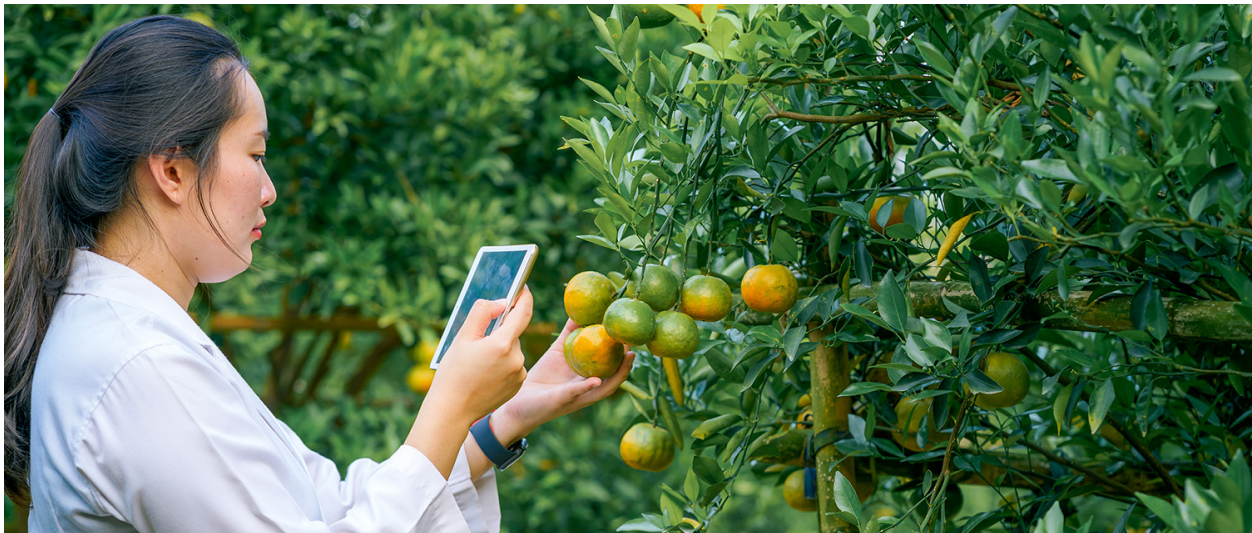
The United States is a heavy importer of products manufactured or grown worldwide to be consumed by the American public. The Food and Drug Administration (FDA) mission is to protect public health by ensuring the safety, effectiveness, and security of foods, cosmetics, human and veterinary drugs, biological products, medical devices, and radiation-emitting products. The FDA's Office of Regulatory Affairs (ORA) is responsible for the coordination and planning of all inspections of the foreign firms and plants manufacturing or processing regulated goods imported from these firms.

A foreign inspection trip can include up to 10 firms, and coordinating a trip across multiple agencies and offices can take 50 to 90 days. Delays in any aspect of planning can prevent country clearance from being obtained in a timely manner, threaten the completion of an inspection trip, and leave risks from imported products unchecked. Over 5,000 users collaborate to complete the processes defined by FDA for these inspections to help ensure the safety of American consumers.

The FDA conducted more than 3,900 inspections of foreign firms in FY 2019, with the number increasing yearly. ORA did not have an enterprise-wide solution to track all foreign inspections across its program offices. Only one of the FDA ORA program offices used a pilot SharePoint-based system to plan and coordinate their foreign inspections, while the other program offices planned their inspections manually. The SharePoint pilot system was not scalable, was hard to maintain, had bad performance metrics with low capacity, and was often unreliable.

Users were dependent on multiple disparate Excel spreadsheets, PDF documents, and emails to track foreign trip information, firm information, personnel logistics, travel details, budget details, etc. Additionally, because the different program offices receive their inspection assignments and goals from different centers, there were many inefficiencies and delays as work planners tried to respond to the various processes.

Using manual systems to plan foreign inspections, FDA struggled to manage the complex logistics of foreign inspections. Inspections could be burdensome on manufacturers (especially if they make several different products). Since FDA inspects the manufacturing of human and animal food, drugs, biologics, medical devices, cosmetics, and more, the potential for duplicated effort and inability to inspect high-risk sites created concerning challenges for the health and safety of consumers. Also, inspectors sometimes faced daunting travel schedules and less optimal and costly travel routings. The FDA carefully plans where and how to allocate the efforts of its inspectors to best prevent unsafe food, drugs, and other products from reaching American consumers. The manual processes were not consistently ensuring the best use of the FDA's inspection efforts. Occasionally, inspections were canceled because the proper coordination with foreign authorities who must authorize travel and access to manufacturing facilities within their borders could not happen.



Like most federal agencies, the FDA faced a constrained budget and staffing resources – limiting the number of inspections it could perform. The FDA sought technology that could help it optimize the use of its limited number of inspectors and its finite travel and resource budgets to conduct foreign inspections.

SOLUTION:

EmergeTek and FDA collaborated to create the Foreign Inspection Planning and Scheduling System (FIPSS), a web-based application that supports the tracking, planning, and coordination of foreign inspections.

Harnessed Human Center Design

We coupled technical elements, such as software quality, with user-centric features, such as usefulness and ease of use, while maintaining complete alignment with FDA's business goals.

EmergeTek leveraged human-centered design methods in our agile development process to guarantee user satisfaction and adoption. We engaged with FIPSS stakeholders to define the desired business impact and understand the seamless user journey. EmergeTek proactively and regularly communicated changes to stakeholders and provided training support for the new processes.



Built a Cloud-Native, Open Source Application

EmergeTek and FDA collaborated to design a new inspection planning and scheduling system using cloud-native, open source .Net and Angular technologies with a modular microservices architecture. We created the application using Domain Driven Design (DDD), which allows ORA to change business logic quickly and independently without impacting other modules or applications.

Automated CI/DC Pipeline and Testing

EmergeTek worked with the FDA IT teams to improve the existing CI/CD pipeline to fully automate deployments across FDA Data Centers and to add functionality that eliminated the tedious process of moving artifacts across data centers using MoveIT. FIPSS was the first FDA application to have an automated deployment moving from development and test to pre-prod and production.

According to FDA, “The team implemented a CI/CD DevSecOps pipeline that has automated tasks across a complex development environment at FDA ... The team was instrumental in helping the FDA’s enterprise DevSecOps and Containers-as-a-Service Teams to add and connect tools to the development pipeline and connect environments which now allow automated code promotion and deployments for each environment. These pipeline improvements benefit not only FIPSS stakeholders, but all FDA development projects.”

Also, over 90% of testing for the application is automated, making the software development process both more efficient and secure.



Created an Interoperability Ecosystem for Better Collaboration

EmergeTek collaborated with the FDA to integrate FIPSS with other FDA applications, including the Firm Management System (FMS), FDA’s Inventory of Data Assets (FIDA), and ORADDS (FDA’s consolidated data repository). EmergeTek successfully helped bring disparate groups of people and programs – using an Agile approach to help create a sense of collaboration and harmony between FDA components that had not always worked optimally together. This collaboration, along with the effective integration of multiple systems, means that FDA now has reports available within the application that provide the most up-to-date management information, transparency, and reporting (using FDA’s chosen Tableau data presentation and visualization platform).

Designed Streamlined Processes for Greater Productivity

We designed FIPSS to help the FDA gain better efficiencies by allowing it to group together several factories that are geographically near each other and assign a single inspector to visit them to

ensure compliance with requirements from several different components of FDA. Previously, various FDA components were not able to coordinate inspection efforts. Thus inspectors spent much more time and money traveling which reduced the number of inspections. In addition, EmergeTek designed the geographical components within FIPSS to run off of latitude/longitude coordinates and implemented the geocoding of addresses when necessary to provide more accurate location identification of the foreign firm. In previous situations, a foreign firm inspection might be canceled if the inspector could not properly locate the firm.

Provided FDA New Digital Capabilities

FIPSS provides the FDA with enhanced and automated capabilities, including:

- Firm Inspection Case Management
- Competent Authority Management (a competent authority is typically the government of a foreign country within which inspections take place)
- Trip and Traveler Management
- Trip Coordination
- Self-service reports are available via a Tableau integration (versus an MS Excel download)

IMPACT:

The FIPSS application made a significant impact at the FDA. Because of FIPSS, the FDA gained new digital capabilities that made inspections extremely efficient so more inspections could be performed with the same amount of resources. FIPSS leveraged modern cloud-native technologies for greater accessibility and scalability. It led the way for automating the software development lifecycle at the FDA and greatly improved collaboration and transparency among FDA components.

The other programmatic benefits achieved from FIPSS include:

- A consolidated and easily searchable database to store all firm inspections, trips, and traveler details and status
- Reduces human error by increasing automation across the business processes
- Eases travel burdens and costs on the FDA and field employees by grouping inspections together geographically

Over 1,500 FDA ORA staff have a FIPSS account with varying roles to date. Across all program areas, users have added over 18,250 Foreign Firm Inspections to the application and planned over 835 mission-critical trips, with 130 of those trips fully completed.

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